

**Framework for Adaptive Human-Robot
Interaction Initiation for Domestic
Environments**

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(168060C)

Degree of Doctor of Philosophy

Department of Electrical Engineering

University of Moratuwa

Sri Lanka

December 2020

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Thesis submitted in partial fulfillment of the requirements for the degree of
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DECLARATION

I declare that this is my own work and this dissertation does not incorporate any material previously submitted for a degree or diploma in any other university or institute of higher learning and to the best of my knowledge and belief it does not contain any material previously published or written by another person except where the acknowledgment is made in the text.

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The above candidate has conducted research for the PhD thesis under my supervision.

Signature of the Supervisor(s):

Date:

Prof. A.G. Buddhika P. Jayasekara

DEDICATION

*To my beloved family and all the people who strive hard to make the world better
than today*

Abstract

Intelligent robot companions contribute significantly in improving living standards in the modern society. Therefore human-like decision making skills and perception are sought after during the design of such robots. On the one hand, such features enable a robot to be easily handled by a non-expert human user. On the other hand, the robot will have the capability of dealing with humans without causing any disturbance by its behavior. Mimicking human emotional intelligence is one of the best and reasonable ways of laying the foundation for such an emotional intelligence in robots. As robots are widely deployed in social environments today, perception of the situation or the intentions of a user prior to an interaction is required in order to be proactive. Proactive robots are required to understand what is communicated by the human body language prior to approaching a human. Social constraints in an interaction could be demolished by this assessment in this regard.

This thesis addresses the problem of perceiving nonverbals in human behavior and fusing human-environment semantic representations with a robot's cognition before interacting with humans. The novelty lies in laying the background to relate nonverbal human behavior and the features of the environment to generate context-aware interactive responses during robot-initiated interaction. That informs the robot about its environment. Toward this end, we introduce novel methods of perceiving human nonverbals and spatial factors in the environment which make up a context in which we integrated that knowledge to determine appropriate responses from a robot to assist its user. Visual information acquired by a vision sensor was analyzed, and the level of emotional engagement demanded by the user's nonverbals was evaluated, before a robot initiates an interaction. After such an analysis, a robot's conversational and proxemic behavior was adjusted to maintain an empathetic relationship between the user and the robot. Our algorithms efficiently sustained the empathy between user and robot so that the interaction resembles human-human interaction to a larger extent. To assist the main problem, we formulated novel methods to recognize human nonverbals such as postures, gestures, hand poses, psychophysiological behavior of humans and human activities, and decode the emotional hints displayed to the outside world. In support of this work, we conducted a series of human studies to explore the patterns in human behavior which could be perceived by a proactive robot using its cognitive capabilities.

We introduce separate systems which can decode the sentiments of humans using observable cues based on accepted social norms. We detail the meanings of human nonverbals by observing human behavior over time and evaluating the context for any patterns in behavior. Ambiguities in human behavior and random, meaningless behaviors could be omitted through this approach. This approach further omits the negative effect of human responses that can be faked, such as facial expressions and words. Finally we introduce an adaptive approach towards robot-initiated human-robot interaction by letting a robot observe a context and generate responses while changing its responses continuously as human behavior changes. We first developed algorithms based on a limited number of observable human cues and decoded their sentiments based on modern psycho-physiological interpretations of human behavior. Next, we expanded such approaches towards multiple observable human cues. Finally we integrated observations from the human and the environment which create the context during HRI (Human-Robot Interaction). Hence we integrated all the recognition

approaches to perceive a complete scenario which comprises the user, robot and the environment.

Upon unimodal systems to identify these features independently, we propose a multi modal approach to evaluate above features together to understand a scenario. Through this approach, we took an effort to make proactive behavior of a social robot more instinctive, ethical and socially acceptable or simply, humanlike. We evaluate this approach by means of physical experiments in simulated social and domestic environments and demonstrate its performance in appropriate occasions as determined by a robot according to the formulated criteria of perceiving a context.

***Keywords-* Nonverbal behavior, Interaction initiation, Proactive robots, Social Human-robot Interaction**

ACKNOWLEDGMENT

It is with great pleasure that I acknowledge the support and contribution of all the mentors and colleagues who assisted me in successfully completing my PhD thesis.

First I would like to express my sincere gratitude to my advisor Dr. Buddhika Jayasekara. It was a privilege to work under his guidance and I thank him for his support, encouragement and patience. Without his tireless efforts, this thesis would never have been accomplished. Also I would like to extend my gratitude to my progress review panel, Prof. Ruwan Gopura and Dr. Chandima Pathirana for their insightful comments and helpful suggestions. I specially acknowledge the efforts put by the thesis examination panel.

Furthermore I would like to thank the following people who helped me undertake this research.

- Arjuna Srimal, Sajila Wickramaratne and the members of Intelligent Service Robotics Group (ISRG), especially Gayashan Porawagamage, Viraj Muthugala, Sahan Kodikara, and Ravindu Bandara, Department of Electrical Engineering, University of Moratuwa.

- My parents and Nikolas Dahn for their kind support and patience through out the journey.

- Dear teachers and my dear friends who set me off on the road to this PhD a long time ago should accept my sincere gratitude.

- The staff of the Robotics and Automation Laboratory in the Department of Electrical Engineering

-People who were generous with their time and effort in participating in experiments related to research.

- The staff and my friends in the department of Electrical Engineering for their immense support.

- My family, my friends, numerous to mention, and everybody who were with me in making this endeavor a success.

This work was partially supported by the University of Moratuwa Senate Research Grants SRC/CAP/17/03 and SRC/LT/2018/20. Furthermore, some of the publications originated from this thesis were partially supported by travel grants awarded by the National Science Foundation of Sri Lanka, IEEE Robotics and Automation Society and European Association for Artificial Intelligence.

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